## Mechanisms of Singlet Oxygen Reactions

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#### Molecular Orbital Theory Treatment of Dinitrogen



#### Molecular Orbital Theory Treatment of Dioxygen



-Spin S = (1/2) + (1/2) = 1 --> Paramagnetic

-Two electrons in two orthogonal, antibonding orbitals.

-Significant radical character on both oxygens contributes to reactivity of triplet oxygen.



#### A Brief Overview of ${}^{3}O_{2}$ Chemistry



Sosnovsky, G.; Zaret, E.H., Dwern, D. Organic Peroxides, Vol. 1, Wiley, NY 1970, pp. 517-560.

## $^{3}O_{2}$ in Biological Systems



Aerobic oxidation: O<sub>2</sub> as an electron sink.



Essential in establishing the chemiosmotic gradient that drives ATP production from ADP phosphorylation.



Maton, A.; Hopkins, J.; McLaughlin, C.W.; Johnson, S.; Warner, M.Q.; LaHart, D.; Wright, J.D. *Human Biology and Health*. Englewood Cliffs, New Jersey, USA: Prentice Hall (1993).

## Early Discoveries and Mechanistic Proposals of ${}^{1}O_{2}$ -Mediated Reactions



## Early Discoveries and Mechanistic Proposals of ${}^{1}O_{2}$ -Mediated Reactions



Kautsky, H.; de Bruijn, H. Naturwiss., 19, 1043 (1931).

Gaffron, H. J. Am. Chem. Soc. 287, 130 (1936).

#### Early Discoveries and Mechanistic Proposals of ${}^1O_2$ -Mediated Reactions

- **1931:** Leucomalachite and trypaflavine adsorbed to  $SiO_2$  beads, mixed, and irradiated at trypaflavine excitation wavelength in dry conditions under  $O_2$  to yield oxygenated products.
  - 1. Immobilized starting materials cannot physically interact with one another.
  - 2. Dry conditions suggests that oxygenation cannot originate from water.
  - 3. Trypaflavine luminscence dependent upon oxygen pressure.



# Early Discoveries and Mechanistic Proposals of ${}^{1}O_{2}$ -Mediated Reactions

1. Excitation of mobile, ground-state triplet oxygen to mobile, excited-state singlet oxygen.



2. Reaction of mobile, excited-state singlet oxygen with oxidized leucomalachite.



Suggestive of a diffusable reactive intermediate such as <sup>1</sup>O<sub>2</sub>.

#### Early Discoveries and Mechanistic Proposals of ${}^{1}O_{2}$ -Mediated Reactions



emits at 820 nm --> 35 kcal/mol

 $^{1}\Delta_{g}$  energy not fully characerized, so existence of singlet oxygen in this mechanism disputed.

2. Different kinetic behavior of active intermediate when formed with anthracene and diphenylanthracene as sensitizer.

Livingston, R.; Subba Rao, V. J. Phys. Chem., 63, 794 (1959).

Early Discoveries and Mechanistic Proposals of  ${}^{1}O_{2}$ -Mediated Reactions



phenanthrine sensitizer and substrate



Kinetics suggest intramolecular reaction.

Schenk, G.O. Naturwiss, 41, 452 (1954).

#### Support for ${}^{1}O_{2}$ -Mediated Photosensitized Autoxidations

1. NaOCI  $\xrightarrow{30\% H_2O_2}$  red-orange chemiluminescence (1960)<sup>1</sup> 20°C

- 2. Chemiluminescence of <sup>1</sup>O<sub>2</sub> detected in NaOCI-H<sub>2</sub>O<sub>2</sub> solutions (1964)<sup>2</sup>
- 3. Same products and similar yields observed for substrate oxidations under NaOCI-H<sub>2</sub>O<sub>2</sub> and photosensitized autoxidation conditions (1964)<sup>3a,b</sup>



4. Rates of photooxidation independent of sensitizer (1965)<sup>4</sup>

- 5. No steric influence by sensitizers (1965)<sup>5</sup>
- 6. No effect by radical scavengers (1965)<sup>5</sup>

1. Seliger, H. Anal. Biochem. 1, 60 (1960).

2. Khan, A.U.; Kasha, M. Nature 204, 241 (1964).

- 3a. Foote, C.S.; Wexler, S. J. Am. Chem. Soc., 86, 3879 (1964).
  3b. Corey, E.J.; Taylor, W.C. J. Am. Chem. Soc., 86, 3881 (1964).
- Kopecky, K.R.; Reich, H.J. *Can. J. Chem.* 43, 2265 (1965).
   Foote, C.S.; Wexler, S.; Ando, W. *Tetrahdron Lett.* 46, 4111 (1965).

#### Direct Spectroscopic Evidence of ${}^{I}O_{2}$ in Photosensitized Autoxidations

**1. EPR absorption of**  $^{1}\Delta_{q}$  **state detected.** 

a. Kearns, D.R.; Khan, A.U.; Duncan, C.K.; Maki, A.H. *J. Am. Chem. Soc.* 91, 1039 (1969). b. Wasserman, E.; Kuck, V.J.; Delavan, W.M.; Yager, W.A. *J. Am. Chem. Soc.* 91, 1040-1041 (1969).

2. 1268-nm emission of the  ${}^{1}\Delta_{q}$ -> ${}^{3}\Sigma_{q}$  transition of molecular oxygen in liquid solution at room temperature is reported in multiple cases.

- a. Snelling, D.R. Chem. Phys. Lett. 2, 346 (1968).
- b. Krasnovsky, A.A. Biophys. USSR 2, 748 (1976).
- c. Krasnovsky, A.A. Photochem. Photobiol. 29, 29 (1979).
- d. Khan, A.U.; Kasha, M. Proc. Natl. Acad. Sci. USA 76, 6047 (1979).





FIG. 2. Dye photosensitized  ${}^{1}\Delta g \rightarrow {}^{3}\Sigma g^{-}$  emission at 1268 nm in liquid solutions at 20°C. (a) Background; (b) decafhorobenzophenone in perfluorohexane; (c) 3,4-benzpyrene in carbon tetrachloride; (d) high-gain background; (e) hematoporphyrin in carbon tetrachloride; and (f) methylene blue in water.

 $^{1}O_{2}$  Relaxation to  $^{3}O_{2}$ 

-Intersystem crossing from singlet to triplet state:



-Relaxation time is highly solvent dependent (solvent vibrational frequencies.)



-H<sub>2</sub>O vibrational frequency = 3600 cm<sup>-1</sup> -Organics ~ 3000 cm<sup>-1</sup> -Freon-113 (no O-H/C-H bonds)

Clennan, E.L.; Pace, A. Tetrahedron 61, 6665 (2001).

#### Preparation of ${}^{1}O_{2}$ via Sensitized Irradiation



rose bengal

## Preparation of ${}^{1}O_{2}$ via Chemical Processes



 $H_2O_2 + NaOCI \longrightarrow {}^1O_2 + H_2O + NaCI$ 

Phosphite ozonide



Clennan, E.L.; Pace, A. Tetrahedron 61, 6665 (2001).

## Preparation of ${}^{1}O_{2}$ via Chemical Processes



Proceeds in very polar environments (water, aqueous methanol/ethanol)<sup>1</sup>



"Microemulsion was prepared at room temperature by adding dropwise [aq. NaMoO<sub>4</sub>] to a sturred slurry of sodium dodecylsulfate (SDS - surfactant), nbutanol, and methylene chloride." Add 9,10-diphenylanthracene and 1.0 mmol of 50%  $H_2O_2$ . Stir at room temperature for 13 minutes.<sup>2</sup>

1. Aubry, J.M.; J. Am. Chem. Soc. 107, 5844 (1985).

2. Aubry, J.M.; Bouttemy, S. J. Am. Chem. Soc. 119, 5286 (1997).

## $^{1}O_{2}$ Ene Reactions





-Olefin shifts to allylic position.

-Syn formation of C-O bond and breakage of C-H/D bond.



#### Exciplex Formation in the Ene Reaction



-Small or slightly negative activation enthalpies and highly negative activation entropies. -Electron rich olefins react with 102 at rates 103 less than diffusion control.



Hurst, J.R.; Wilson, S.L.; Schuster, G.B. Tetrahedron, 41, 2191 (1985). Gorman, A.A.; Hamblett, I.; Lambert, C.; Spencer, B.; Standen, MC. J. Am. Chem. Soc. 110, 8053 (1988).

#### Identifying Intermediates in the Ene Reaction



 $k_{\rm H}/k_{\rm D} = 1.4$ 

D<sub>3</sub>C

Stephenson, L.M.; Grdina, M.J.; Orfanopoulos, M. Acc. Chem. Res. 13, 419 (1980).

#### Identifying Intermediates in the Ene Reaction



Stephenson, L.M.; Grdina, M.J.; Orfanopoulos, M. Acc. Chem. Res. 13, 419 (1980).

#### Identifying Intermediates in the Ene Reaction



-Perepoxide formation does not involve breaking C-isotope bond, so  $k_1/k_2 = 1$ . -Perepoxide formation is irreversible.

Stephenson, L.M.; Grdina, M.J.; Orfanopoulos, M. Acc. Chem. Res. 13, 419 (1980).

#### Regiochemical Issues



Lerdal, D.; Foote, C.S. Tetrahedron Lett. 3227 (1978).

#### **Regiochemical Issues**



Lerdal, D.; Foote, C.S. Tetrahedron Lett. 3227 (1978).

#### Secondary Orbital Overlap



Stephenson, L.M. Tetrahedron Lett. 21, 1005 (1980). Houk, K.N.; Williams Jr., J.C.; Mitchell, P.A.; Yamaguchi, K. J. Am. Chem. Soc. 103, 949 (1981).

#### Secondary Orbital Overlap



Stephenson, L.M. Tetrahedron Lett. 21, 1005 (1980). Houk, K.N.; Williams Jr., J.C.; Mitchell, P.A.; Yamaguchi, K. J. Am. Chem. Soc. 103, 949 (1981).

## Effects of Secondary Orbital Overlap



Lerdal, D.; Foote, C.S. Tetrahedron Lett. 3227 (1978).

## Substituent Effects in the ${}^{1}O_{2}$ Ene Reaction

These range from the obvious....



Facial steric encumberance

Lin, H.-S.; Paquette, L.A. Synth. Commun. 16, 1275 (1986).

## Substituent Effects in the ${}^{1}O_{2}$ Ene Reaction

... to subtle...



-Steric interactions between pendant O and L to favor structure B.

-Interactions between the perepoxide and L can lengthen the (L)C-O+ bond and encourage hydrogen abstraction from the geminal alkyl group.

### Substituent Effects in the ${}^{1}O_{2}$ Ene Reaction

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-Interactions between the perepoxide and L can lengthen the (L)C-O+ bond and encourage hydrogen abstraction from the geminal alkyl group.

...to the more subtle...



-Increasing energy of C-L bond will increase antibonding character of interaction and weaken the C-O bond.

Fristad, W.E.; Bailey, T.R.; Paquette, L.A.; Gleiter, R.; Bohm, M.C. J. Am. Chem. Soc. 101, 4420 (1979).

#### Stereoelectronic Effects Governing Regiochemistry



Fristad, W.E.; Bailey, T.R.; Paquette, L.A. J. Am. Chem. Soc. 101, 4420 (1979).

#### Interesting Regiochemical Effects



(x) = percent abstraction

Fristad, W.E.; Bailey, T.R.; Paquette, L.A. J. Am. Chem. Soc. 101, 4420 (1979).

Allylic Strain As a Determining Factor

(7)

(50)

(43)



Adam, W.; Richter, M.J. J. Org. Chem. 59, 3335 (1994).

Allylic Strain As a Determining Factor



Adam, W.; Richter, M.J. J. Org. Chem. 59, 3335 (1994).

#### Electronic Effects



1. Hyperconjugation of C-Si bond into  $\pi$  bond forces C-Si bond perpendicular to the plane containing the olefin.

2. O<sub>2</sub> approaches olefin face *anti* to the silyl group.

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#### Electronic Effects



anchimeric assistance



Okada, K.; Mukai, T. J. Am. Chem. Soc. 100, 6509 (1978). Hertel, L.W.; Paquette, L.A. J. Am. Chem. Soc. 101, 7620 (1979).





Adam, W.; Nestler, B. J. Am. Chem. Soc. 115, 5041 (1993).

### Hydrogen Bonding Effects



No A[1,3] strain to direct preference of one conformation over the other. Perepoxide can hydrogen bond to -OH or participate in *cis* effect with *anti* methyl.

Adam, W.; Nestler, B. J. Am. Chem. Soc. 115, 5041 (1993).

Hydrogen Bonding Effects



A[1,2] strain does not influence the diastereoselectivity of this reaction.

Adam, W.; Nestler, B. J. Am. Chem. Soc. 115, 5041 (1993).

## Chiral Auxiliaries in the ${}^{1}O_{2}$ Ene Reaction



Dussault, P.H.; Woller, K.R., Hillier, M.C. Tetrahedron 50, 8929 (1994).

#### A Two-Step, No-Intermediate Ene Reaction?



-At 24, we see the reaction heading towards a perepoxide intermediate.

-Structure 25 is not an intermediate, but a transition state!

-At 25, the reaction path can bifurcate toward one of two products.



-Not a concerted reaction, because there are two kinetically distinguishable steps that can be influenced through isotopic substitution. -"Two-step, no-intermediate mechanism."

Houk et al. J. Am. Chem. Soc. 125, 1319 (2003).

## $^{1}O_{2}[4+2]$ Cycloadditions



-At least a formal [4+2] reaction, but is it concerted or stepwise?  $-{}^{1}O_{2}$  is a superdienophile by virtue of its dipole.



## Quantum Chemical Treatment of the [4+2] Cycloaddition of ${}^{1}O_{2}$

-Path of least energy to the endoperoxide involves a singlet diradical intermediate, followed by ring closure.



Bobrowski, M.; Liwo, A.; Odziej, S.; Jeziorek, D.; Ossowki, T. J. Am. Chem. Soc. 122, 8112 (2000).

## Quantum Chemical Treatment of the [4+2] Cycloaddition of ${}^{1}O_{2}$

-A concerted mechanism prevails with aromatics.

-A diradical intermediate at 26.7 kcal/mol could not be linked to the reactants via a transition state.





#### Experimental Evidence for Stepwise Mechanism



-Isomerization leads to most stable diene, which can undergo oxygenation.

O'Shea, K.E.; Foote, C.S. J. Am. Chem. Soc. 110, 7167 (1988).

Guiding Effects in the [4+2] Cycloaddition of  ${}^{1}O_{2}$ 











Mehta, G.; Uma, R. J. Org. Chem. 65, 1685 (2000).

Guiding Effects in the [4+2] Cycloaddition of  ${}^{1}O_{2}$ 















C-thioacetal bond is more electron rich than cyclobutane bonds. Cieplak model predicts  ${}^{1}O_{2}$  addition from opposite face to minimize dipole.

Mehta, G.; Uma, R. J. Org. Chem. 65, 1685 (2000).

Furans:





Gollnick, K.; Griesbeck, A. *Tetrahedron*, 41, 2057 (1985). Koch, E.; Schenck, G.O. *Chem. Ber.* 99, 1984 (1966).

Furans:



Furans:



Gollnick, K.; Griesbeck, A. *Tetrahedron*, 41, 2057 (1985). Adam, W.; Rodriguez, A. *Tetrahedron Lett*, 22, 3509 (1981).

## Manipulating Ozonide Cleavage



Margaros, I; Vassilikogiannakis, G. J. Org. Chem. 73, 2021 (2008).



Held, C; Frohlich, R.; Metz, P. Angew. Chem. Int. Ed. 40, 1058 (2001).



Wasserman, H.H.; Rotello, V.M.; Frechette, R.; DiSimone, R.B.; Yoo, J.U.; Baldino, C.M. Tetrahedron. 53, 8731 (1997).



Held, C; Frohlich, R.; Metz, P. Angew. Chem. Int. Ed. 40, 1058 (2001).



Wasserman, H.H.; Rotello, V.M.; Frechette, R.; DiSimone, R.B.; Yoo, J.U.; Baldino, C.M. Tetrahedron. 53, 8731 (1997).



Adam, W.; Gthlein, M.; Peters, E.M.; Peters, K.; Wirth, T. J. Am. Chem. Soc. 120, 4091 (1998).



Valente, P.; Avery, T.D.; Taylor, D.K.; Tiekink, E.R.T. J. Org. Chem. ASAP doi: 10.1021/jo8020506

#### Directing Effects by Hydrogen Bonds in [4+2] Reactions

-Hydrogen-bond directed [4+2] cycloadditions have been known to occur, but they are infrequent due to the reactivity of singlet oxygen as a dienophile.



Adam, W.; Prein, M. *J. Am. Chem. Soc.* 115, 3766 (1993). Adam, W; Peters, E.M.; Peters, K.; Prein, M.; von Schnering, H.G. *J. Am. Chem. Soc.* 117, 6686 (1995). Adam, W.; Prein, M. *Acc. Chem. Res.* 29, 275 (1996).

## $^{1}O_{2}$ [2+2] Cycloadditions



- 1. Not necessarily stereospecific.
- 2. Increasing solvent polarity favors dioxetane formation when other  ${}^{1}O_{2}$  reaction pathways exist.
- 3. Pathway more favorable with electron-rich olefins.



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Kearns, D.R.; Fenical, W.; Radlick, P. *Ann. N. Y. Acad. Sci.*, 171, 32 (1970). Bartlett, P.D.; Mendenhall, G.D.; Schaap, A.P. *Ann. N. Y. Acad. Sci.*, 171, 79 (1970). Fenical, W.; Kearns, D.R.; Radlick, P. *J. Am. Chem. Soc.* 91, 3396 (1969).

## Substrates for ${}^{1}O_{2}$ [2+2] Cycloadditions



Fenical, W.; Kearns, D.R.; Radlick, P. *J. Am. Chem. Soc.* 91, 3396 (1969).
Foote, C.S.; Lin, J.W.-P. *Tetrahedron Lett.*, 3267 (1968).
McCapra, F.; Hann, R.A. *Chem. Commun.*, 442 (1969).
Richardson, W.H.; Hodge, V. *J. Org. Chem.* 35, 1216 (1970).
Schultz, A.G.; Schlessinger, R.H. *Tetrahedron Lett.*, 2731 (1970).

# Evans-Based Chiral Auxiliary Toward ${}^{1}O_{2}$ [2+2] Cycloaddition Reactions



## Evans-Based Chiral Auxiliary Toward ${}^{1}O_{2}$ [2+2] Cycloaddition Reactions



## Hydrogen Bonding Effects in [2+2] Reactions



0, ```	X	Solvent	A:B	[2+2]:ene
НО	н		>95:5	47:53
Н	Н	CD <sub>3</sub> OD/ CCl <sub>4</sub>	89:11	>95:5
conformation of allylic alcohol prevents H-abstraction to the ene product	OAc	CDCI <sub>3</sub>	N/A	<5:95
	OAc	CD <sub>3</sub> OD	N/A	<5:95

#### *Hydrogen Bonding Effects in [2+2] Reactions*



CCl4conformation of allylic alcohol<br/>prevents H-abstraction to the<br/>ene productOAcCDCl3N/AOAcCDCl3N/A

-Increasing solvent polarity favors more polar transition state of [2+2] cycloaddition.



<5:95

<5:95

Adam, W.; Saha, Moller, C.R.; Schambony, S.B. *J. Am. Chem. Soc.* 121, 1834 (1999). Matsumoto, M.; Kobayashi, H.; Matsubara, J.; Watanabe, N.; Yamashita, S.; Oguma D.; Kitano, Y.; Ikawa, H. *Tetrahedron Lett.* 37, 397 (1996).

## Other Modes of Reactivity of Dioxetanes



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Abeilo, F.; Bois, J.; Gomez, J.; Morell, J. Bonet, J.J. *Helv. Chim. Acta.* 58, 2549 (1975). Wasserman, H.H.; Terao, S. *Tetrahedron Lett.*, 1735 (1975).



Ravanat, J.-L.; Martinez, G.R.; Medeiros, M.H.G.; di Mascio, P.; Cadet, J. Tetrahedron, 62, 10709 (2006).